

## Governance & Audit Committee Matters Arising Schedule

### Purpose:

To consider progress on the matters arising from previous Governance & Audit Committee meetings.

**Recommendation:** That Members note progress on the matters arising and request corrective action if necessary.

Status	Title	Action Required	Comments	Due Date	Allocated To
Black	<b>Feedback regarding resources within Management Team</b>	Committee to receive assurance on the continuation of service provision following resignation of a senior officer.	<p><b>G&amp;A 241126: In response to a question about the reported change in ownership of risks CO3 and OV1, Members sought clarity on whether the Management Team had appropriate resources to take on the work previously undertaken by a former staff member.... the Chair asked for the concerns to be taken forward, dealt with appropriately, and reported back.</b></p> <p><b>Update: Interim arrangements for vacancies within the management structure are progressing through the Chief Officer Employment Committee.</b></p>	11/03/25	Emma Foy
Black	<b>Oversight of Procurement Training</b>	Request Internal Audit to include oversight of the training process when they undertake their audit of procurement.	<p><b>G&amp;A 241126: The Chair noted a Member of the Committee's trepidation regarding the level of oversight and scrutiny from elected Members in the training process, adding that these concerns would be examined by the Council's Internal Auditors.</b></p> <p><b>Update: Procurement report included in 22 April 2025 Committee agenda includes details of the Internal Audit of Procurement.</b></p>	31/03/25	Peter Davy

Black	<b>Awareness of cyber security measures for all Members</b>	Look at options for an All Member session on cyber security (possibly a meeting of Full Council or a standalone session).	<p><b>G&amp;A 241126: The Chair then suggested the ICT Shared Services Manager could attend a future meeting of the Council so that all Members would be aware of the measures in place to protect the Council's cyber security.</b></p> <p><b>Update: Democratic and Civic Officer in liaison with ICT Shared Services Manager to arrange this.</b></p> <p><b>Update: Booked to take place 04/03/25 as an online All Member Briefing Session.</b></p>	31/03/25	Natalie Smalley
Black	<b>Documents regarding business plans and financial performance information of Council-associated agencies to be shared with Members</b>	S151 Officer to send out business plans and financial performance information of Council-associated employment agencies to Members of the Committee via email.	<p><b>G&amp;A 250121: S151 explained Corporate Policy and Resources Committee was responsible for assessing the business plans and financial performance of the companies... The Chairman requested for the relevant documents which were brought to Corporate Policy and Resources Committee to also be forwarded to Governance and Audit Committee Members for ease of access.</b></p> <p><b>Update: S151 Officer sent out relevant details to Members of the Committee via email 21/01/25.</b></p>	11/03/25	Emma Foy
Black	<b>Public engagement with the Annual External Audit Report</b>	Annual External Audit Report to be shared with the public via the Council's Communications Team.	<p><b>G&amp;A 250121: Members of the Committee commended the accessibility of the report, particularly the clear presentation of risk factors and related work. The Chairman suggested that the ease of reading be communicated to the public to encourage engagement with the report.</b></p> <p><b>Update: News release shared by Communications Team 07/04/25</b></p>	31/03/25	Julie Heath

<b>Black</b>	<b>Copy of the Whistleblowing Policy to be shared with Members</b>	Request for the Whistleblowing policy to be shared with Members of the G&A Committee after it had been approved at CP&R Committee.	<b>G&amp;A 250121: The Monitoring Officer informed Members that the Whistleblowing Policy was currently under review and would proceed to the Joint Staff Consultative Committee shortly, followed by the Corporate Policy and Resources Committee. It was suggested that once the policy was considered and approved, it should be shared with the Governance and Audit Committee for noting purposes.</b>  <b>Update: Shared with Members of the Governance and Audit Committee via email 21/03/25.</b>	11/03/25	Lisa Langdon
<b>Green</b>	<b>Combined Assurance Report Accessibility</b>	Members requested at the previous Committee meeting that the green text be changed on the Combined Assurance report to make it easier to read. It was agreed at the meeting that the team would revise the formatting.	<b>Format of the report to be updated before the next report comes to Committee.</b>	10/06/25	Lisa Langdon
<b>Green</b>	<b>Review of RAF Scampton</b>	Members requested at the previous Committee meeting that an update report to review RAF Scampton and reflection on learning be brought to a future meeting of the Governance and Audit Committee.	<b>Update: Report to be added to the 10 June 2025 agenda.</b>	10/06/25	Sally Grindrod-Smith
<b>Green</b>	<b>Regular reporting on the performance of the Joint Committee for Devolution</b>	Progress reports to be brought to the Governance and Audit Committee for oversight.		10/06/25	Lisa Langdon
<b>Green</b>	<b>Assurance on the Council's Procurement Process</b>	Members to receive information regarding the Council's procurement process, at a future meeting to provide assurance to the Committee.		30/04/25	Peter Davy
<b>Green</b>	<b>Audit of Procurement Lincolnshire</b>	The Committee asked for a copy of Procurement Lincolnshire's audit report.	<b>G&amp;A 241126: In response to a question from the Committee, the S151 Officer explained that Procurement Lincolnshire would be audited by Lincolnshire Internal Audit, and that she would seek to obtain a copy of a recent audit report to be shared with Members.</b>	30/06/25	Peter Davy

<b>Green</b>	<b>Feedback following audit of appraisal process</b>	Further detail to be provided regarding expected improvements in the appraisal process.	<b>G&amp;A 241126: At the request of Members to ensure further oversight... appraisal KPIs would be reported to Management Team... and the relevant Committee. NB: suggested route = Joint Staff Consultative Committee</b>	31/05/25	Lisa Langdon
<b>Green</b>	<b>Update on the implementation of new procurement rules and regulations</b>	Chair of G&A requested the Internal Audit team to examine progress made in implementing procurement rules and regulations in a year's time (approximately January 2026).	<b>G&amp;A 250121: The Chairman requested that a further report from Internal Audit be presented to the Committee in a year's time reporting the progress made in implementing the new procurement rules and regulations.</b>	31/01/26	Peter Davy
<b>Green</b>	<b>Confirmation that recommendations have been implemented</b>	Confirmation that the Internal Audit's recommendations have been fully implemented.	<b>G&amp;A 250121: The Chairman... requested confirmation at a future meeting that the recommendations [of the Internal Audit Progress Report] had been fully implemented.</b>	10/06/25	Lisa Langdon
<b>Green</b>	<b>Feedback regarding feasibility of retaining meeting recordings long-term</b>	Feedback to be provided to the Committee regarding the feasibility of retaining Committee meeting recordings long-term.	<b>G&amp;A 250121: The Monitoring Officer agreed to consider the technical feasibility [of retaining Committee meeting recordings] and consult with the Data Protection Officer regarding information retention.</b>	22/04/25	Lisa Langdon
<b>Green</b>	<b>Review specific phrasing in the Constitution</b>	Monitoring Officer to review the phrasing in the Constitution relating to the public right to record in Committee meetings.	<b>G&amp;A 250121: The Chairman recommended certain sections of the Constitution be reworded to prevent misinterpretation with respect to the rights of the public in Committee meetings.</b>	10/06/25	Lisa Langdon
<b>Green</b>	<b>Oversight of counter-fraud anti-bribery work</b>	Request Internal Audit Team to examine the progress made regarding the Council's counter-fraud and anti-bribery work.	<b>G&amp;A 250121: The Chairman reiterated the need to continue the counter-fraud and anti-bribery work; it was requested for the Management Team to ensure than an assessment of the Council's progress in relation to counter-fraud and anti-bribery was formally included as part of the future Internal Audit Plan.</b>	10/06/25	Peter Davy

Green

**Reporting on the delivery and maintenance of climate change policy**

Request for assurances to be given to the Committee regarding the delivery and maintenance of the Council's climate change policy.

**G&A 250121: The Chairman highlighted potential issues related to the delivery and maintenance of climate change policy in the wake of local government uncertainty... the Committee were assured that these concerns would be raised with Management Team, with a response to be reported to the Committee.**

10/06/25

Rachael Hughes